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Agenda item 132
Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations

Draft resolution submitted by the Chairman following informal consultations

Strengthening the capacity of the United Nations to manage and sustain peacekeeping operations

The General Assembly,

Recalling Article 2, paragraph 1, and Articles 17, 18, 97 and 100 of the Charter of the United Nations,

Recalling also its resolutions 45/258 of 3 May 1991, 47/218 A of 23 December 1992, 48/226 A of 23 December 1993, 55/238 of 23 December 2000, 56/241 of 24 December 2001, 56/293 of 27 June 2002, 57/318 of 18 June 2003, 58/298 of 18 June 2004, 59/301 of 22 June 2005, 60/268 of 30 June 2006, 61/245 and 61/246 of 22 December 2006 and 61/256 of 15 March 2007, its decisions 48/489 of 8 July 1994, 49/469 of 23 December 1994 and 50/473 of 23 December 1995 and other relevant resolutions of the General Assembly,

Recalling further its resolutions 55/258 of 14 June 2001, 57/305 and 57/307 of 15 April 2003, 58/296 of 18 June 2004, 59/266 of 23 December 2004, 59/283 of 13 April 2005, 60/238 of 23 December 2005 and 61/244 of 22 December 2006, as well as its other relevant resolutions and decisions on human resources management and administration of justice,

Recalling its resolutions 54/14 of 29 October 1999, 54/256 of 7 April 2000, 55/232 of 23 December 2000, 55/247 of 12 April 2001, 57/279 of 20 December 2002, 58/276 and 58/277 of 23 December 2003, 59/288 and 59/289 of 13 April 2005, 60/266 of 30 June 2006 and 61/246 of 22 December 2006, as well as its other relevant resolutions on procurement and outsourcing practices,

Having considered the comprehensive report of the Secretary-General on strengthening the capacity of the United Nations to manage and sustain peace operations[1] and his reports on the financing of the support account for peacekeeping

[1] A/61/858 and Corr.1, Add.1 and Add.1/Corr.1 and Add.2.

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operations,[2] the report of the Office of Internal Oversight Services on the audit of the management structures of the Department of Peacekeeping Operations[3] and the related report of the Advisory Committee on Administrative and Budgetary Questions,[4]

Recognizing the importance of the United Nations being able to respond and deploy rapidly to a peacekeeping operation upon the adoption of a relevant Security Council resolution, within thirty days for traditional peacekeeping operations and within ninety days for complex peacekeeping operations,

Recognizing also the need for adequate support during all phases of peacekeeping operations, including the liquidation and termination phases,

Reaffirming the rules of procedure of the General Assembly,

Recalling the Regulations and Rules Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation[5] and the Financial Regulations and Rules of the United Nations,[6]

Stressing the intergovernmental, multilateral and international character of the United Nations,

Reaffirming the role of the General Assembly and its relevant intergovernmental and expert bodies, within their respective mandates, in planning, programming, budgeting, monitoring and evaluation,

Recognizing the ongoing efforts to reform human resources management, the system of administration of justice, the information and communications technology system and the procurement system of the United Nations, in accordance with the provisions of the relevant resolutions and decisions of the General Assembly,

Attaching great importance to the provision of adequate resources for peacekeeping operations and their backstopping as well as for all priority activities of the Organization, in particular activities in the area of development, and underlining the need for a genuine and meaningful partnership between the Security Council, the troop-contributing Governments and other Member States, and the Secretariat,

Recognizing the need to strengthen the capacity of the Organization at Headquarters to mount and sustain peacekeeping operations in the light of the surge in demand and the complex and multidimensional peacekeeping operations,

Mindful that the level of the support account should broadly correspond to the mandate, number, size and complexity of peacekeeping missions,

1. *Reaffirms* that the Fifth Committee is the appropriate Main Committee of the General Assembly entrusted with responsibilities for administrative and budgetary matters;

2. *Also reaffirms* rule 153 of its rules of procedure;

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[2] A/61/733 and Add.1 and A/61/858/Add.1 and Corr.1.
[3] A/61/743.
[4] A/61/937.
[5] ST/SGB/2000/8.
[6] ST/SGB/2003/7.

3. *Further reaffirms* its role in carrying out a thorough analysis and approval of human and financial resources and policies with a view to ensuring the full, effective and efficient implementation of all mandated programmes and activities and the implementation of policies in this regard;

4. *Reaffirms* its role with regard to the structure of the Secretariat, and stresses that proposals that amend the overall departmental structure, as well as the format of the budgets of the Organization and the biennial programme plan, are subject to review and approval by the General Assembly;

5. *Emphasizes* that ongoing management reforms must be fully taken into account when presenting additional proposals for reform;

6. *Reaffirms* that support account funds shall be used for the sole purpose of financing human resources and non-human resources requirements for backstopping and supporting peacekeeping operations at Headquarters, and that any changes in this limitation require the prior approval of the General Assembly;

7. *Also reaffirms* the need for adequate funding for the backstopping of peacekeeping operations, as well as the need for justification for that funding in support account budget submissions;

8. *Recalls* the role of the Secretary-General as the Chief Administrative Officer of the Organization, in accordance with the provisions of Article 97 of the Charter;

9. *Reiterates* that the delegation of authority on the part of the Secretary-General should be in order to facilitate the better management of the Organization, but stresses that the overall responsibility for management of the Organization rests with the Secretary-General as the Chief Administrative Officer;

10. *Decides* to establish the Department of Field Support;

11. *Affirms* the need for the Secretary-General to ensure that the delegation of authority to the Department of Peacekeeping Operations, the Department of Field Support and field missions is in strict compliance with relevant resolutions and decisions, as well as relevant rules and procedures of the General Assembly on this matter;

12. *Reiterates* the importance of strengthened accountability in the Organization and of ensuring greater accountability of the Secretary-General to Member States, inter alia, for the effective and efficient implementation of legislative mandates and the use of human and financial resources;

13. *Recalls* its request to the Secretary-General to specifically define accountability as well as clear accountability mechanisms, including to the General Assembly, and to propose clear parameters for its application and the instruments for its rigorous enforcement, without exception, at all levels, to ensure effective and efficient operations and management of resources in the Organization;

14. *Emphasizes* the importance of preserving unity of command in missions at all levels as well as coherence in policy and strategy and clear command structures in the field and up to and including at Headquarters;

15. *Also emphasizes* the importance of interaction and coordination with troop-contributing countries;

16. *Further emphasizes* the need to ensure the safety and security of United Nations personnel;

17. *Requests* the Secretary-General to ensure a clear chain of command, accountability, coordination and maintenance of an adequate system of checks and balances;

18. *Urges* the Secretary-General, within the framework established in its resolutions 52/12 B of 19 December 1997 and 52/220 of 22 December 1997, to define explicitly the role and duties of the Deputy Secretary-General in the reform set out in the present resolution, including in relation to the Department of Peacekeeping Operations, the Department of Field Support, the Department of Political Affairs and the Department of Management;

19. *Recalls* section I, paragraph 6, of its resolution 55/238 and paragraph 11 of its resolution 56/241, and requests the Secretary-General to ensure the proper representation of troop-contributing countries in the Department of Peacekeeping Operations and the Department of Field Support, taking into account their contribution to United Nations peacekeeping;

20. *Reiterates* that the Secretary-General, in employing staff, shall ensure the highest standards of efficiency, competence and integrity as the paramount consideration, with due regard for the principle of equitable geographical distribution, in accordance with Article 101, paragraph 3, of the Charter and relevant General Assembly resolutions;

21. *Takes note* of the Report of the Office of Internal Oversight Services;[3]

22. *Recalls* paragraph 9 of the report of the Advisory Committee on Administrative and Budgetary Questions,[4] and notes that the organizational structure of the Department of Peacekeeping Operations and the Department of Field Support may present some major management challenges;

23. *Reaffirms* paragraph 6 of its resolution 56/241;

24. *Recalls* paragraph 9 of the report of the Advisory Committee,[4] and requests the Secretary-General to clarify the responsibilities and financial authority of all heads of mission;

25. *Stresses* that heads of departments report to and are accountable to the Secretary-General;

26. *Notes* the unique nature of the reporting line from the head of the Department of Field Support to the Under-Secretary-General for Peacekeeping Operations, and decides that having one head of department (Department of Field Support) report to and take direction from another head of department (Department of Peacekeeping Operations) shall not set a precedent in the Secretariat;

27. *Requests* the Secretary-General to address systemic issues that hamper good management of the Organization, including improvement of work processes and procedures, and in that context stresses that structural change is no substitute for managerial improvement;

28. *Endorses* the conclusions and recommendations contained in the relevant report of the Advisory Committee,[4] subject to the provisions of the present resolution;

29. *Takes note* of paragraph 63 of the report of the Advisory Committee;[4]

Support account for peacekeeping operations

30. *Also takes note* of the reports of the Secretary-General on the financing of the support account for peacekeeping operations;[2]

31. *Reaffirms* the need for effective and efficient administration and financial management of peacekeeping operations, and urges the Secretary-General to continue to identify measures to increase the productivity and efficiency of the support account;

32. *Recalls* paragraph 13 of its resolution 60/268, and reiterates its request to the Secretary-General to submit the findings of a comprehensive analysis of the evolution of the support account;

33. *Requests* the Secretary-General to ensure the full implementation of the relevant provisions of its resolutions 59/296 of 22 June 2005, 60/266 and 61/___,[7] as well as other relevant resolutions;

34. *Decides* to maintain, for the period from 1 July 2007 to 30 June 2008, the funding mechanism for the support account used in the current period, from 1 July 2006 to 30 June 2007, as approved in paragraph 3 of its resolution 50/221 B of 7 June 1996;

35. *Also decides* to approve one D-1, thirteen P-5 and twelve P-4 posts for the integrated operational teams, to be located in their functional areas;

36. *Further decides* to locate the Partnerships Section in the Office of the Director for Policy, Evaluation and Training in the Department of Peacekeeping Operations;

37. *Decides* to approve two Evaluation Officer posts (one P-5 and one P-4) and one Administrative Assistant post (General Service (Other level)) in the Peacekeeping Best Practices Section of the Department of Peacekeeping Operations;

38. *Also decides* not to establish one P-4 post or a Legal Service in the Office of the Under-Secretary-General for Field Support;

39. *Further decides* not to establish a P-5 post for a Senior Legal Officer in the Office of the Under-Secretary-General for Legal Affairs;

40. *Decides* to establish one P-4 post in the Europe and Latin America Division of the Department of Peacekeeping Operations;

41. *Also decides* to establish one P-4 post in lieu of using general temporary assistance in the Field Budget and Finance Division of the Department of Field Support;

42. *Further decides* to establish one P-5, two P-4, one P-3 and one General Service posts in the Office of the Assistant Secretary-General for Rule of Law and Security Institutions in the Department of Peacekeeping Operations, referred to in paragraphs 205 to 211, of the report of the Secretary-General;[8]

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[7] See A/C.5/61/L.49.

[8] A/61/858/Add.1.

43. *Decides* to retain the sixty-three posts referred to in paragraphs 158 (a) and (b) of the report of the Advisory Committee,[4] under general temporary assistance, and requests the Secretary-General to review the level of resources of the Office of Internal Oversight Services for providing backstopping to peacekeeping operations, as well as its functions and interaction with peacekeeping operations and troop-contributing countries, and to report thereon in the context of the budget for the support account at its sixty-second session;

44. *Requests* the Secretary-General to provide a comprehensive report to the General Assembly on the results of the ongoing examination and rationalization of the investigation caseload and the overall review of the capacity of the Investigations Division of the Office of Internal Oversight Services at its sixty-second session;

45. *Also requests* the Secretary-General to ensure effective monitoring, within the Office of the Under-Secretary-General for Peacekeeping Operations, of the work of the Department of Peacekeeping Operations, as well as the Department of Field Support, with respect to: (a) the results-based budgeting framework and performance evaluation of subprogrammes; (b) enterprise risk management; (c) the information management strategy; (d) the reform initiatives and implementation of the related processes; (e) policy dissemination and communication with partners in peace operations; and (f) the implementation of the recommendations of oversight bodies;

46. *Recalls* paragraph 16 of the report of the Advisory Committee,[4] and requests the Secretary-General to further explore possible synergies between the Department of Peacekeeping Operations and the Department of Field Support on the one hand and other departments of the Secretariat, specialized agencies and funds and programmes on the other;

47. *Emphasizes* the need for effective coordination between the Department of Peacekeeping Operations and the Department of Field Support and the importance of the post of Chief of Staff in this respect, taking fully into consideration paragraph 23 of the report of the Secretary-General;[9]

48. *Acknowledges* the concept of integrated operational teams as a means of ensuring horizontal coordination and integration of processes throughout the Department of Peacekeeping Operations and the Department of Field Support, and requests the Secretary-General, in this regard, to ensure effective coordination with the Office of Military Affairs of the Department of Peacekeeping Operations, taking into account the recommendations of the relevant intergovernmental bodies with respect to the assessment of the functions of the ad hoc organ identified in the report of the Secretary-General;[10]

49. *Emphasizes* that disarmament, demobilization (including reinsertion) and reintegration programmes are a critical part of peace processes and integrated peacekeeping operations, as mandated by the Security Council, and supports strengthening the coordination of those programmes using an integrated approach;

50. *Stresses* that the Police Adviser should form part of the senior management team;

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[9] A/61/858 and Corr.1.

[10] A/61/883.

51. *Stresses also* the need for strengthening gender awareness in all training programmes;

52. *Reiterates* its support for the development and implementation of a ten-year plan for capacity-building with the African Union, looks forward to considering at its sixty-second session the report requested in its resolution 60/268 on the efforts made in support of African Union capacity-building, and stresses the need for an adequately resourced African Union peace support team;

Financial performance report for the period from 1 July 2005 to 30 June 2006

53. *Takes note* of the report of the Secretary-General on the financial performance of the support account for peacekeeping operations for the period from 1 July 2005 to 30 June 2006;[11]

54. *Decides* not to transfer the amount of 13,790,000 United States dollars included in the amount of 15,804,000 dollars previously authorized in its resolution 60/268, representing the excess of the authorized level of the Peacekeeping Reserve Fund utilized to finance the requirements of the support account for peacekeeping operations in respect of the financial period from 1 July 2006 to 30 June 2007, and to revert to this issue in the context of its consideration of the financial performance report for the financial period ended 30 June 2007;

Budget estimates for the period from 1 July 2007 to 30 June 2008

55. *Approves* the support account requirements in the amount of 230,509,900 dollars for the period from 1 July 2007 to 30 June 2008, including eight hundred nineteen continuing and two hundred eighty-four new temporary posts and their related post and non-post requirements;

Financing of the budget estimates

56. *Decides* that the requirements for the support account for peacekeeping operations for the period from 1 July 2007 to 30 June 2008 shall be financed as follows:

(a) The unencumbered balance of 10,947,000 dollars and other income of 3,430,300 dollars in respect of the financial period ended 30 June 2006, to be applied to the resources required for the period from 1 July 2007 to 30 June 2008;

(b) The amount of 7,097,000 dollars in excess of the authorized level of the Peacekeeping Reserve Fund in respect of the financial period ended 30 June 2006, to be applied to the resources required for the financial period from 1 July 2007 to 30 June 2008;

(c) The balance of 209,035,600 dollars, to be prorated among the budgets of the active peacekeeping operations for the period from 1 July 2007 to 30 June 2008;

(d) The net estimated staff assessment income of 21,277,600 dollars, comprising the amount of 23,430,900 dollars for the period from 1 July 2007 to 30 June 2008 and the decrease of 2,153,300 dollars in respect of the financial period ended 30 June 2006, to be set off against the balance referred to in subparagraph (c)

[11] A/61/733 and Add.1.

above, to be prorated among the budgets of the individual active peacekeeping operations;

Programme budget for the biennium 2006-2007

57. *Takes note* of the report of the Secretary-General[12] on the revised estimates relating to the programme budget for the biennium 2006-2007 and the proposed programme budget for the biennium 2008-2009 under sections 5, Peacekeeping operations, 28D, Office of Central Support Services, and 35, Staff assessment;

58. *Decides* to establish the post of Under-Secretary-General for Field Support until 30 June 2008, under the assumption of its continuation, subject to a preliminary review at the second part of its resumed sixty-second session and a comprehensive review at the second part of its resumed sixty-third session that will address, inter alia, the continuation of the post and its level, functions, interaction with other heads of department, relevance, operational efficiency and effectiveness and, taking into account the functions of the Department of Field Support, the necessity to ensure the unity of command, integration of efforts and strengthening of operational capacity at Headquarters and in the field;

59. *Also decides* to establish the following posts:

Section 5, Peacekeeping operations

(a) Assistant Secretary-General to head the newly established Office of Military Affairs in the Department of Peacekeeping Operations;

(b) Assistant Secretary-General to head the newly established Office of Rule of Law and Security Institutions in the Department of Peacekeeping Operations;

Section 28D, Office of Central Support Services

(c) Chief of the Procurement Service (D-1) in the Procurement Division of the Office of Central Support Services;

60. *Further decides* to approve the redeployment of the following posts:

(a) A post at the P-5 level from the Military Division to the Office of Rule of Law and Security Institutions to perform the functions of Special Assistant to the Assistant Secretary-General;

(b) An existing Military Adviser post at the D-2 level from the Military Division to the Office of Rule of Law and Security Institutions to head the Police Division;

61. *Decides* to approve the abolition, effective 1 July 2007, of seven posts (four P-4, two P-3 and one General Service (Other level)) under section 5, Peacekeeping operations, of the programme budget for the biennium 2006 2007;

62. *Requests* the Secretary-General to report on the actual expenditures incurred in connection with the abolition and establishment of the posts referred to in paragraphs 58 to 61 above in the context of the second performance report on the programme budget for the biennium 2006-2007, and takes note that the continuing

[12] A/61/858/Add.2.

requirements would be incorporated in the initial appropriation at the time of the adoption of the programme budget for the biennium 2008-2009, in December 2007;

Reporting

63. *Recalls* paragraphs 3, 12, 17 and 43 of its resolution 61/246, and decides to revert to the proposals regarding procurement following the submission of the report of the Secretary-General requested in that resolution, taking into account the recommendations of the Office of Internal Oversight Services as contained in its report;[3]

64. *Requests* the Secretary-General to present to the General Assembly a comprehensive analysis of the Office of Military Affairs, taking into account the results of the upcoming report on the Strategic Military Cell and the lessons learned from the first period of the expansion of the Office of Military Affairs, including its interactions with the integrated operational teams and other offices of the Secretariat, so that it may review and further strengthen the functions of the Office, and to present the results of that analysis at the second part of the resumed sixty-second session;

65. *Also requests* the Secretary-General to entrust the Office of Internal Oversight Services with reviewing and analysing the structure of the Secretariat for managing and sustaining peacekeeping operations, as established in the present resolution, and to report thereon to the General Assembly at the second part of its resumed sixty-third session;

66. *Further requests* the Secretary-General to submit a preliminary report on the status of the implementation of the present resolution, bearing in mind the recommendations of the Office of Internal Oversight Services as contained in its report,[3] at the second part of its resumed sixty-second session;

67. *Recalls* paragraph 6 of its resolution 61/256, and requests the Secretary-General to submit a comprehensive report, including, inter alia, on the efficiency and effectiveness of the new structure in the implementation of mission mandates, as well as on programme delivery, improvements in the administrative and management processes, the functions of the integrated operational teams, measures to ensure coordination and integration between the Department of Peacekeeping Operations and the Department of Field Support and the efficiencies and improvements resulting from past reforms of the Department of Peacekeeping Operations, at the second part of its resumed sixty-third session, bearing in mind the recommendations of the Office of Internal Oversight Services as contained in its report,[3] in particular recommendations 2, 7 and 13.